

An Axiomatic Approach for Combinatorial Topology

> Gilles Bertrand

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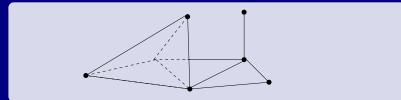
An Axiomatic Approach for Combinatorial Topology

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We investigate a structural (axiomatic) approach related to combinatorial topology and simple homotopy.

We use completions as a "language" for describing collections of objects.

• We consider objects that are simplicial complexes.





Plan of the presentation

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Motivation

- Simplicial complexes and completions
- Dendrites
- Dyads
- Confluence
- Relative dendrites
- Homotopic pairs
- Conclusion



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Motivation



Topological space

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A topological space is a set X together with a collection of subsets of X, called open sets and satisfying the following axioms:

■ The empty set and X itself are open.

Any union of open sets is open.

■ The intersection of any finite number of open sets is open. A map $f : X \mapsto Y$ between topological spaces X and Y is called **continuous** if the inverse image of every open set is open.



Alexandroff spaces and preorders

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- When considering finite sets, a topological space is an Alexandroff space, *i.e.*, a topological space in which the intersection of any arbitrary family (not necessarily finite) of open sets is open.
- There is a correspondance between Alexandroff spaces and preorders (binary relations that are reflexive and transitive).
- To any Alexandroff space, we may associate a preorder ≤ such that x ≤ y if and only if y is contained in all open sets that contain x.
- Conversely, a preorder determines an Alexandroff space: a set O is open for this space if and only if x ∈ O and x ≤ y implies y ∈ O.



Continuous and monotone maps

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A map f between two preordered sets X and Y is monotone if $x \le y$ in X implies $f(x) \le f(y)$ in Y.

- A map between two preordered sets is monotone if and only if it is a continuous map between the corresponding Alexandroff spaces.
- Conversely, a map between two Alexandroff spaces is continuous if and only if it is a monotone map between the corresponding preordered sets.

Thus, there is a perfect structural equivalence between finite topological spaces and preorders.



Continuous and monotone maps

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Continuous retraction (1)

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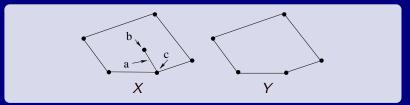
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Let us consider the two discrete objects X and Y. The object X is made of 6 vertices and 6 edges.

A natural preorder \leq between all these elements is the partial order corresponding to the relation of inclusion between sets. Thus, we have $b \leq a$ and $c \leq a$.

We see that there exists a monotone map f between X and Y such that f is the identity on all elements of Y, and f(a) = c, f(b) = c.

Thus, Y corresponds to a continuous retraction of X.





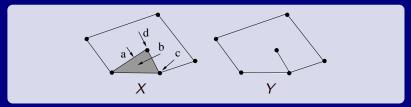
Continuous retraction (2)

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Now, let us consider the following objects X and Y. We have $d \le a \le b$ and $c \le b$. We see that it is not possible to build a monotone map f

between X and Y, f being the identity on all elements of Y. For example, if we take f(a) = c, f(b) = c, we have $d \le a$, but we have not $f(d) \le f(a)$.



Thus, in this construction, the classical axioms of topology fail to interpret Y as a continuous retraction of X.



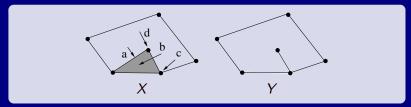
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Simplicial complexes and completions



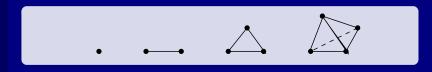
Simplicial complexes

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- Let X be a finite family composed of finite sets, X is a simplicial complex if $x \in X$ whenever $x \subseteq y$ and $y \in X$.
- We write \$ for the collection of all simplicial complexes.
- Let $X \in \mathbb{S}$. An element of X is a face of X.
- A complex A ∈ S is a cell if A = Ø or if A has precisely one non-empty maximal face x.

■ We write C for the collection of all cells.





Simplicial complexes

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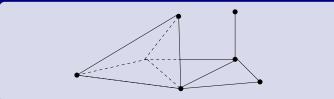
■ Let X be a finite family composed of finite sets, X is a simplicial complex if $x \in X$ whenever $x \subseteq y$ and $y \in X$.

■ We write **S** for the collection of all simplicial complexes.

■ Let $X \in S$. An element of X is a face of X.

■ A complex A ∈ S is a cell if A = Ø or if A has precisely one non-empty maximal face x.

• We write \mathbb{C} for the collection of all cells.





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> > A completion may be seen as a rewriting rule which permits to derive collections of objects.

Completions allows to formulate, in an easy way, inductive definitions.



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■ Let *K* be an arbitrary sub-collection of S, *K* is a dedicated symbol (a kind of variable).

We say that a property ⟨K⟩ is a completion (on S) if ⟨K⟩ may be expressed as the following property:
 > If F ⊆ K, then G ⊆ K whenever Cond(F,G). ⟨K⟩ where Cond(F,G) is a condition on a finite collection F and an arbitrary collection G.

■ *Theorem*: Let $\langle K \rangle$ be a completion on S and let $X \subseteq S$. There exists, under the subset ordering, a unique minimal collection \mathcal{K} which contains X and which satisfies $\langle K \rangle$.

 \blacksquare We write $\langle X,K\rangle$ for this unique minimal collection.

If $\langle K \rangle$ and $\langle Q \rangle$ are two completions, $\langle K \rangle \land \langle Q \rangle$ is a completion, the symbol \land standing for the logical "and". We write $\langle X | K, Q \rangle$ for $\langle X ; K \land Q \rangle$.



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If $\langle K \rangle$ and $\langle Q \rangle$ are two completions, $\langle K \rangle \land \langle Q \rangle$ is a completion, the symbol \land standing for the logical "and". We write $\langle K, K, Q \rangle$ for $\langle X; K \land Q \rangle$.



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■ *Theorem*: Let $\langle K \rangle$ be a completion on S and let $X \subseteq S$. There exists, under the subset ordering, a unique minimal collection \mathcal{K} which contains X and which satisfies $\langle K \rangle$.

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If ⟨K⟩ and ⟨Q⟩ are two completions, ⟨K⟩ ∧ ⟨Q⟩ is a completion, the symbol ∧ standing for the logical "and". We write ⟨X; K, Q⟩ for ⟨X; K ∧ Q⟩.



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We define the completion $\langle \Upsilon \rangle$ as follows: \rightarrow If $S, T \in \mathcal{K}$, then $S \cup T \in \mathcal{K}$ whenever $S \cap T \neq \{\emptyset\}$. $\langle \Upsilon \rangle$ We set $\Pi = \langle \mathbb{C}; \Upsilon \rangle$, Π is precisely the collection of all simplicial complexes which are (path) connected.



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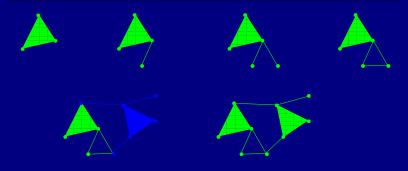


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We see that this completion is an alternative to the classical definition of connectedness. Furthermore it provides a constructive way for generating all connected complexes.



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	Dendrites
	Motivation:
	To describe a remarkable collection of acyclic complexes.

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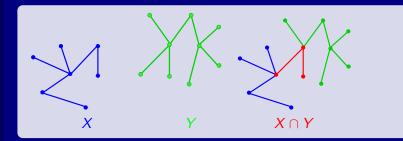


Dendrites: the basic idea

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Let X and Y be two trees.



 $X \cup Y$ is a tree whenever $X \cap Y$ is a tree $X \cap Y$ is a tree whenever $X \cup Y$ is a tree



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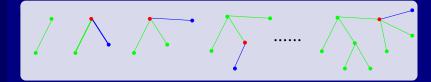
We define the completions $\langle D1 \rangle$ and $\langle D2 \rangle$ as follows: For any $S, T \in \mathbb{S}$, \rightarrow If $S, T \in \mathcal{K}$, then $S \cup T \in \mathcal{K}$ whenever $S \cap T \in \mathcal{K}$. (D1) \rightarrow If $S, T \in \mathcal{K}$, then $S \cap T \in \mathcal{K}$ whenever $S \cup T \in \mathcal{K}$. (D2) We set $\mathbb{D} = \langle \mathbb{C}; D1, D2 \rangle$. Each element of \mathbb{D} is a dendrite.



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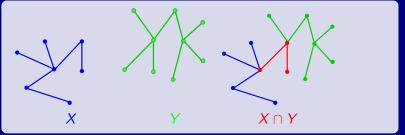
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→ If *S*, *T* ∈ *K*, then *S* ∪ *T* ∈ *K* whenever *S* ∩ *T* ∈ *K*. $\langle D1 \rangle$ → If *S*, *T* ∈ *K*, then *S* ∩ *T* ∈ *K* whenever *S* ∪ *T* ∈ *K*. $\langle D2 \rangle$ We set $\mathbb{D} = \langle \mathbb{C}; D1, D2 \rangle$. Each element of \mathbb{D} is a dendrite.





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Let $\mathbb T$ denote the collection of all trees. We have : $\mathbb T=\langle \mathbb C[1]; D1, D2\rangle$



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A global structure

A dynamic structure



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We set $\mathbb{R} = \langle \mathbb{C}; D1 \rangle$. Each element of \mathbb{R} is a ramification. Thus, we have $\mathbb{R} \subseteq \mathbb{D}$.

Let $\mathbb T$ denote the collection of all trees. We have : $\mathbb T=\langle \mathbb C[1]; D1, D2\rangle=\langle \mathbb C[1]; D1\rangle$



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Dendrites: dendrites and homology

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It may be shown that a complex is a dendrite if and only if it is acyclic in the sense of integral homology.



Dendrites: dendrites and homology

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Motivation:

We want to describe collection of arbitrary complexes (complexes that are not necessarily acyclic). It turns out that the good way to proceed was to consider couple of complexes.



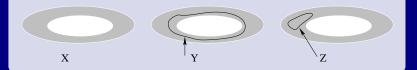


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Intuitively, a dyad is a couple of complexes (Y, X), with $Y \subseteq X$, such that the cycles of Y are "at the right place with respect to the ones of X".

Three complexes X, Y, and Z, with $Y \subseteq X$ and $Z \subseteq X$:



The pair (Y, X) is a dyad, the pair (Z, X) is not a dyad.

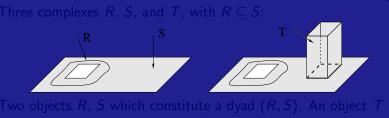


Dyads: the basic idea

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We set S
= {(Y, X) | X, Y ∈ S, with Y ⊆ X}.
We proceed by considering completions on S. (instead of S)



Two objects R, S which constitute a dyad (R, S). An object T which is glued to S. The couple $(S \cap T, T)$ is a dyad, thus $(R, S \cup T)$ is also a dyad.

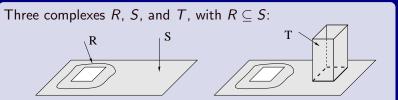


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Dyads: the axioms

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We set $\ddot{\mathbb{C}} = \{(A, B) \mid A, B \in \mathbb{C}, \text{ with } A \subseteq B\}.$

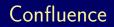
We define the three completions on \mathbb{S} as follows: For any $(R, S) \in \mathbb{S}$, $T \in \mathbb{S}$, \rightarrow If (R, S) and $(S \cap T, T) \in \mathcal{K}$, then $(R, S \cup T) \in \mathcal{K}$. $\langle X, X = S \rangle$ \rightarrow If (R, S) and $(R, S \cup T) \in \mathcal{K}$, then $(S \cap T, T) \in \mathcal{K}$. $\langle X, X = S \rangle$ \rightarrow If $(R, S \cup T)$ and $(S \cap T, T) \in \mathcal{K}$, then $(R, S) \in \mathcal{K}$. $\langle X, X = S \rangle$ We set $\mathbb{X} = \langle \mathbb{C}; \mathbb{X}1, \mathbb{X}2, \mathbb{X}3 \rangle$. Each element of \mathbb{X} is a dyad.

These completions constitute a set of axioms for describing couple of complexes which have "the same topology" and which are "at the right place with respect to each other".



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Motivation:

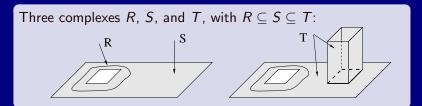
We want to describe a fundamental structure of dyads (some fundamental relationships).



Confluence: the basic idea

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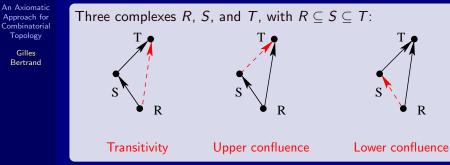
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A structural feature of dyads: If (R, S) and (S, T) are dyads, then (R, T) is a dyad. (Transitivity)



Confluence: axioms (1)



We define the three completions on \mathbb{S} as follows: For any $(R, S), (S, T), (R, T) \in \mathbb{S}$, \rightarrow If $(R, S) \in \mathcal{K}$ and $(S, T) \in \mathcal{K}$, then $(R, T) \in \mathcal{K}$. \rightarrow If $(R, S) \in \mathcal{K}$ and $(R, T) \in \mathcal{K}$, then $(S, T) \in \mathcal{K}$. \rightarrow If $(R, T) \in \mathcal{K}$ and $(S, T) \in \mathcal{K}$, then $(R, S) \in \mathcal{K}$.

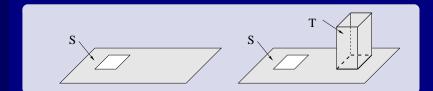


Confluence: axioms (2)

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We define the two completions on $\ddot{\mathbb{S}}$ as follows: For any $S, T \in \mathbb{S}$, \rightarrow If $(S \cap T, T) \in \ddot{\mathcal{K}}$, then $(S, S \cup T) \in \ddot{\mathcal{K}}$. \rightarrow If $(S, S \cup T) \in \ddot{\mathcal{K}}$, then $(S \cap T, T) \in \ddot{\mathcal{K}}$.



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Confluence: the confluence theorem

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Theorem: We have $\ddot{\mathbb{X}} = \langle \ddot{\mathbb{C}}; \ddot{\mathrm{Y}}1, \ddot{\mathrm{Y}}2, \ddot{\mathrm{T}}, \ddot{\mathrm{U}}, \ddot{\mathrm{L}} \rangle$.

This theorem provides another way to generate the collection of all dyads. Furthermore it shows the importance of the structural relations $\langle \ddot{T} \rangle$, $\langle \ddot{U} \rangle$, and $\langle \ddot{L} \rangle$.



Confluence: the confluence theorem

An Axiomatic Approach for Combinatorial Topology

> Gilles Bertrand

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An	Axiom	atic	
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Topology			

Gilles Bertrand

Relative dendrites

Motivation:

We want to establish a link between dyads and dendrites.

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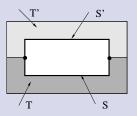


Relative dendrites: relative dendrites

An Axiomatic Approach for Combinatorial Topology

> Gilles Bertrand

We define two completions on \mathbb{S} : For any (S, T), $(S', T') \in \mathbb{S}$, \rightarrow If (S, T), (S', T'), $(S \cap S', T \cap T') \in \mathcal{K}$, then $(S \cup S', T \cup T') \in \mathcal{K}$. $\langle \mathbb{Z}1 \rangle$ \rightarrow If (S, T), (S', T'), $(S \cup S', T \cup T') \in \mathcal{K}$, then $(S \cap S', T \cap T') \in \mathcal{K}$. $\langle \mathbb{Z}2 \rangle$ Each element of $\langle \mathbb{C}^+; \mathbb{Z}1, \mathbb{Z}2 \rangle$ is called a relative dendrite.





Relative dendrites: the relative dendrites theorem

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Theorem: We have $\ddot{\mathbb{X}} = \langle \ddot{\mathbb{C}}^+; \ddot{\mathbb{Z}}1, \ddot{\mathbb{Z}}2 \rangle$. In other words a complex is a dyad if and only if it is a relative dendrite.

This theorem provides a third way to generate the collection of all dyads. Furthermore, it allows to establish the forthcoming cancelation theorem.



Relative dendrites: the relative dendrites theorem

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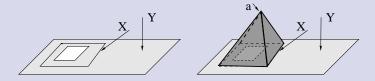


Relative dendrites: the cancelation theorem

An Axiomatic Approach for Combinatorial Topology

> Gilles Bertrand

A couple (X, Y) which is a dyad, and a cone aX:



Theorem: Let $(X, Y) \in \mathbb{S}$. The couple (X, Y) is a dyad if and only if $aX \cup Y$ is a dendrite.

Intuitively, this theorem asserts that, if (X, Y) is a dyad, then we cancel out all cycles of Y (*i.e.*, we obtain an acyclic complex), whenever we cancel out those of X (by the way of a cone). Furthermore, it asserts that, if we are able to cancel all cycles of Y by such a way, then (X, Y) is a dyad.



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An	Axiom	atic
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Gilles Bertrand

Homotopic pairs

Motivation:

We want to make a link between previous completions and (simple) homotopy.



Homotopic pairs: simple homotopy

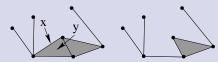
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> Gilles Bertrand

Let $X \in S$. A face $x \in X$ is free for X if x is a proper face of exactly one face y of X, such a pair (x, y) is a free pair for X. If (x, y) is a free pair for X, $Y = X \setminus \{x, y\}$ is an elementary collapse of X and X is an elementary expansion of Y. The complex X collapses onto Y if Y may be obtained from X by elementary collapses. Two complexes are (simply) homotopic if one of them may be obtained from the other by elementary collapses and

expansions.

An object X and an elementary collapse of X:





Homotopic pairs: contractible complexes

An Axiomatic Approach for Combinatorial Topology

> Gilles Bertrand

> > A complex is (simply) contractible if it is simply homotopic to a single vertex.

Proposition: Any contractible complex is a dendrite.



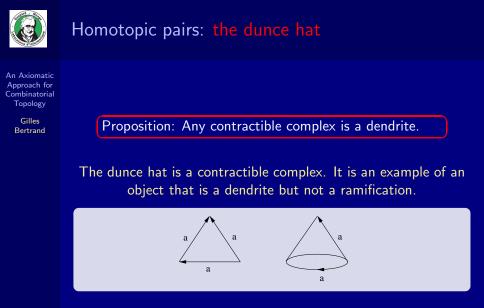
Homotopic pairs: contractible complexes

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Homotopic pairs: homology and homotopy

An Axiomatic Approach for Combinatorial Topology

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There exist some dendrites that are not contractible. The punctured Poincaré homology sphere provides an example of this fact. Thus, the collection of contractible complexes is a proper subset of the collection of dendrites.

We would like to have a better understanding of the links between dendrites (or dyads) and homotopy.



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An Axiomatic Approach for Combinatorial Topology

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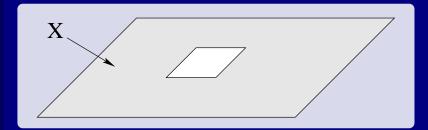
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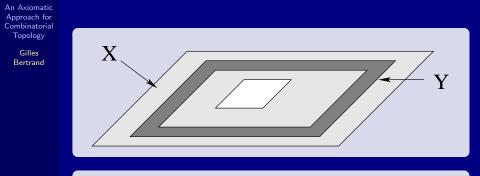
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We try to take benefit of all the previous completions that act on pairs rather than a single complex.

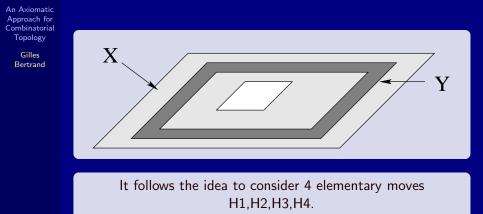




The pair (Y, X) is a dyad:

we observe that, in this example, it is possible to continuously deform X onto Y while keeping Y inside X.



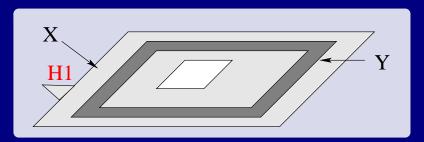


This moves may be seen as "relative collapses/expansions".



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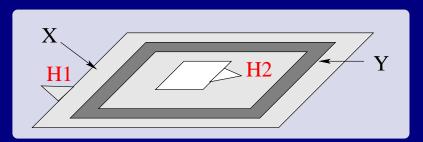


H1 is an expansion of X.



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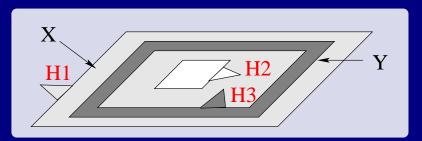


H2 is a collapse of X constrained to keep Y inside X.



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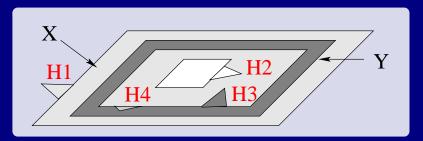


H3 is an expansion of Y constrained to keep Y inside X.



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H4 is a collapse of Y.



Homotopic pairs: the axioms

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If $X, Y \in \mathbb{S}$, we write $X \stackrel{E}{\longmapsto} Y$, whenever Y is an elementary expansion of X. We define four completions on \mathbb{S} : For any (R, S), (R, T), (S, T) in \mathbb{S} , \rightarrow If $(R, S) \in \ddot{\mathcal{K}}$ and $S \stackrel{E}{\longmapsto} T$, then $(R, T) \in \ddot{\mathcal{K}}$. **H**1 \rightarrow If $(R, T) \in \ddot{\mathcal{K}}$ and $S \stackrel{E}{\longmapsto} T$, then $(R, S) \in \ddot{\mathcal{K}}$. \rightarrow If $(R, T) \in \ddot{\mathcal{K}}$ and $R \stackrel{E}{\longmapsto} S$, then $(S, T) \in \ddot{\mathcal{K}}$. \rightarrow If $(S, T) \in \ddot{\mathcal{K}}$ and $R \stackrel{E}{\longmapsto} S$, then $(R, T) \in \ddot{\mathcal{K}}$. We set $\ddot{\mathbb{I}} = \{(X, X) \mid X \in \mathbb{S}\}$ and $\ddot{\mathbb{H}} = \langle \ddot{\mathbb{I}}; \ddot{\mathbb{H}}1, \ddot{\mathbb{H}}2, \ddot{\mathbb{H}}3, \ddot{\mathbb{H}}4 \rangle$. Each element of \mathbb{H} is a **homotopic pair**.



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Proposition: We have $\ddot{\mathbb{H}} \subseteq \ddot{\mathbb{X}}$, *i.e.*, any homotopic pair is a dyad. Thus, we have $\ddot{\mathbb{H}} \subseteq \ddot{\mathbb{X}} = \langle \ddot{\mathbb{C}}; \ddot{\mathbb{Y}}1, \ddot{\mathbb{Y}}2, \ddot{\mathbb{T}}, \ddot{\mathbb{U}}, \ddot{\mathbb{L}} \rangle$.

Theorem: We have $\ddot{\mathbb{H}} = \langle \ddot{\mathbb{C}}; \ddot{Y}1, \ddot{T}, \ddot{U}, \ddot{L} \rangle$.



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Theorem: We have $\ddot{\mathbb{H}} = \langle \ddot{\mathbb{C}}; \ddot{Y}1, \ddot{T}, \ddot{U}, \ddot{L} \rangle$.

- In a single framework we can express some notions linked to homology and homotopy.

- We obtain global properties for simple homotopy.
- The collection of all homotopic pairs is fully characterized by these properties.
- The distinction between dyads (\simeq homology) and homotopy is clear.



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Conclusion



Conclusion

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- We introduced completions as a language for constructive and dynamic descriptions of collections of complexes.
 - These completions correspond to global topological properties of these collections.
 - We introduced the notions of dendrites, dyads, relative dendrites, confluence, homotopic pairs, and we gave several theorems which show the deep links between these collections.



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Thank you for your attention !



Dendrites: duality

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Let $A \in \mathbb{C}$ and $X \leq A$. The **dual of** X for A is the simplicial complex X_A^* , such that $X_A^* = \{\underline{A} \setminus x \mid x \in A \setminus X\}$, where \underline{A} is the ground set of A, *i.e.*, $\underline{A} = \bigcup \{x \in X \mid dim(x) = 0\}$.





Dendrites: duality

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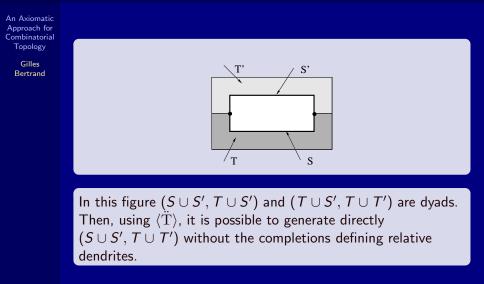
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Proposition: Let $A \in \mathbb{C}$ and $X \preceq A$. The complex X is a dendrite if and only if X_A^* is a dendrite.

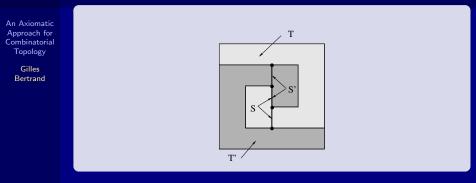


Relative dendrites: example (1)





Relative dendrites: example (2)



Now, in this figure, we observe that we can generate $(S \cup S', T \cup T')$ with the completions for relative dendrites. Nevertheless $(S \cup S', T \cup S')$ is not a dyad $(S \cup S'$ is acyclic, while $T \cup S'$ is not). Thus, it is not possible to generate, in a straightforward manner, the relative dendrite $(S \cup S', T \cup T')$ with the previous completions for dyads.



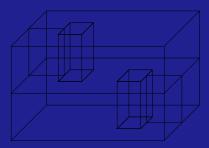
Homotopic pairs: collapsible complexes

An Axiomatic Approach for Combinatorial Topology

> Gilles Bertrand

Let X be a triangulation of a square. If X collapses onto Y, then Y collapses onto a single vertex.

This property is not true if we consider a cube!



The Bing's house



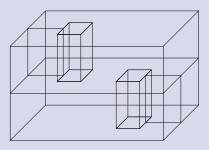
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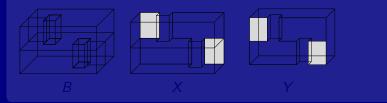
An Axiomatic Approach for Combinatorial Topology

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Proposition: Any contractible complex is a dendrite.

For example, the Bing's house is a dendrite.

We have $B = X \cup Y$, and X, Y, and $X \cap Y$ are dendrites.





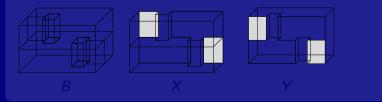
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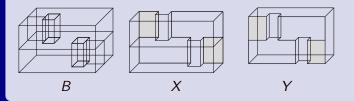
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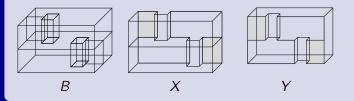
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Homotopic pairs: simple homotopy

An Axiomatic Approach for Combinatorial Topology

> Gilles Bertrand

Theorem:

Let $X, Y \in \mathbb{S}$ and let λY be a copy of Y disjoint from X. The complexes X and Y are simply homotopic if and only if there exists $K \in \mathbb{S}$ such that K collapses onto both X and λY .

Theorem (Whitehead): Let $X, Y \in \mathbb{S}$. The complexes X and Y are simply homotopic if and only if there exists $K \in \mathbb{S}$ and there exists a stellar sub-division \widetilde{Y} of Y, such that K collapses onto both X and \widetilde{Y} .



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